

# Independent External Validation of a Score Predicting Survival After Radiotherapy for Bone Metastases and Expansion to Patients Treated With Single Fraction Radiotherapy

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## Abstract

**Background:** Recently a prognostic score that predicts 12-month survival in patients treated with fractionated radiotherapy for painful bone metastases has been developed. Fractionated radiotherapy might cause unnecessary burden for patients with limited survival, thus estimation of survival is clinically relevant. The purpose of the present study was independent external validation of the new score and, in addition, its application in patients who received single fraction irradiation, a convenient option currently endorsed in several guidelines.

**Methods:** We conducted a retrospective analysis of 270 patients, including 24% who had received single fraction irradiation. The three-tiered score was assigned as described in the development study, and included age, performance status and primary tumor type. Additional prognostic factors not studied in the development cohort, such as the Glasgow prognostic score (GPS) and presence of liver metastases, were included in this validation study.

**Results:** The three-tiered score was valid in this independent cohort (12-month survival rates were 7%, 30% and 71%, respectively,  $P = 0.0001$ ). Its performance and validity were also confirmed in the single fraction radiotherapy group. Three additional prognostic factors were significant in the multivariate analysis and may therefore contribute to decision making.

**Conclusions:** Irrespective of fractionation, the score based on age, performance status and primary tumor type provides a readily available estimate of 12-month survival.

**Keywords:** Radiotherapy; Radiation oncology; Bone metastases;

Fractionation regimen; Prognostic factors; Score

## Introduction

Most radiotherapy centers worldwide are treating considerable numbers of patients with bone metastases from solid tumors [1-4]. Several prospective randomized trials have confirmed the high efficacy of radiation treatment in terms of pain relief [5-14]. Various palliative radiotherapy schedules have been studied, ranging from a single fraction of 8 Gy, to 20 Gy in 5 fractions, 24 Gy in 6 fractions, 30 Gy in 10 fractions and even 40 Gy in 20 fractions. No clear dose-effect relationship has been seen in any of these trials. Subsequent meta-analyses have confirmed the equal effectiveness of a single dose schedule compared to more protracted regimens [15-17]. Several guidelines and consensus reports recommend a single fraction of 8 Gy as preferred radiotherapy schedule for patients with uncomplicated bone pain, that is, for bone lesions not causing neurological complaints and without a high risk of pathological fracture [18-21]. Among the randomized trials comparing single versus multiple fractions for painful bone metastases, retreatment rates were consistently higher after the single dose schedules. If patients with long predicted survival require treatment with a low risk of local failure, protracted regimens are commonly prescribed [22-24]. In order to avoid unnecessary overtreatment and assign the right patient to the right radiotherapy regimen, Rades et al have recently developed a prognostic model that predicts 12-month survival [25]. All patients had received fractionated radiotherapy for symptomatic (painful) bone metastases without spinal cord compression between 2009 and 2017, and had solid tumors. The purpose of the present study was independent external validation of the Rades et al score and, in addition, its application in patients who received single fraction irradiation, a convenient option currently endorsed in several guidelines.

## Materials and Methods

This retrospective validation study utilized a previously es-

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established database (purpose: monitoring of quality of care) at the authors' institution in Bodo (academic teaching hospital in rural Norway) [26]. All patients were treated with linear accelerators between 2007 and 2014 after 2D or 3D treatment planning (no stereotactic radiotherapy). While single fraction irradiation was recommended for uncomplicated bone metastases, final decision-making was left to the discretion of the treating physician. In accordance with the Rades et al study, and to resemble its methods as closely as possible, patients with metastatic spinal cord compression were excluded, because separate prognostic models exist for this group [27-29]. Location of metastases included spine, pelvis, long bones, ribs, skull, etc. Patients who had experienced complications, such as pathological fractures, and were treated with surgery and postoperative radiotherapy were included. The latter group was not treated with single fraction irradiation.

Institutional Review Board approval was not required because an already approved database created for retrospective quality-of-care studies was analyzed. This study was conducted in compliance with the ethical standards of the responsible institution on human subjects as well as with the Helsinki Declaration.

Serum albumin and C-reactive protein (CRP) were part of routine blood chemistry assessment and used to assign the Glasgow prognostic score (GPS), in accordance with a previous study by our group, which suggested high clinical relevance for survival prediction [30]. GPS 0 describes a state of normal CRP and albumin; GPS 1 describes one abnormal result; GPS 2 describes increased CRP and low albumin. The hospital's electronic patient record system was used to collect all follow-up and baseline data including blood tests. The latter had to be no older than 2 weeks before the first fraction of radiotherapy.

The Rades et al score was assigned based on age, Karnofsky performance status (KPS) and primary tumor as described in literature [25]. Patients who were 60 years or younger received 5 points, whereas their older counterparts received 4 points. In case of KPS 80 - 100, 6 points were assigned (KPS  $\leq$  70: 2 points). The different primary tumors were counted as follows: 6 points for breast and renal cell cancer, 5 points for prostate cancer, 3 points for lung and colorectal cancer and 2 points for other tumors.

Actuarial survival from the first day of radiotherapy was calculated with the Kaplan-Meier method and compared between subgroups with differing baseline characteristics with the log-rank test. In case of a new course of bone irradiation during follow-up, patients were censored at the date of treatment start of their new course. If the log-rank P-value was  $\leq$  0.05, the corresponding baseline characteristic was included in the multivariate analysis of prognostic factors for survival, which consisted of Cox regression (forward conditional method). A P-value  $\leq$  0.05 was considered statistically significant.

## Results

We identified and included 270 patients from our database. Single fraction irradiation was administered to 64 patients (24%), short course (4 - 6 fractions, e.g. 5 fractions of 4 Gy)

to 71 patients (26%) and long course (10 fractions of 3 Gy or higher biologically equivalent doses) to 135 patients (50%). Commonly, only one target volume was treated (141 patients, 52%; two target volumes at the same time: 36%; more than two: 12%). Eleven patients (4%) failed to complete treatment. Median age was 66.5 years (minimum 33, maximum 89 years). Fifty-nine patients (22%) were 60 years or younger. Most patients were male (178, 66%; female: 92, 34%) and had KPS 80 - 100 (191, 71%; KPS  $\leq$  70: 79, 29%). Primary tumors were as follows: prostate 42%, breast 17%, lung 13%, kidney 10%, colorectal 3% and others 14%. A favorable GPS score (0) was recorded in 112 patients (41%), intermediate (1) in 110 patients (41%) and poor (2) in 48 patients (18%).

As shown in Figure 1, survival varied with fractionation. In the single fraction group, a median of 6.0 months was observed (short course: 9.7 months, long course: 26.6 months) ( $P = 0.0001$ ). Comparable differences were seen for GPS (1.8, 9.8 and 37.4 months,  $P = 0.0001$ ), different primary tumors (longest survival in prostate, breast and kidney,  $P = 0.0001$ ), KPS ( $P = 0.0001$ ), presence of liver metastases ( $P = 0.0001$ ) and known disease progression outside of the irradiated bone target volumes ( $P = 0.0001$ ). In contrast, age as continuous variable was not significant in a univariate Cox analysis ( $P = 0.07$ ).

Regarding the Rades et al score, which was assigned based on age, KPS and primary tumor as described in literature [25], most patients belonged to the favorable group (15 - 17 points,  $n = 143$ , 53%), followed by the intermediate group (10 - 14 points, 97 patients, 36%) and the unfavorable group (8 - 9 points, 30 patients, 11%) (Table 1). The median point sum was 15 (range 8 - 17). The survival curves are shown in Figure 2 ( $P = 0.0001$ ). The rates of 12-month survival were 7%, 30% and 71%, respectively. Figures 3-5 display survival stratified by fractionation regimen. The Rades et al score predicted survival in all three different fractionation groups ( $P = 0.0001$ ) for all three figures. Outcomes are summarized in Table 2.

The multivariate Cox regression model included the Rades et al score, GPS, fractionation, liver metastases and progressive disease outside of the irradiated bone target volumes. Besides fractionation ( $P = 0.13$ ), all other variables were associated with survival ( $P = 0.005$  or better). The hazard ratios were 1.9 for the presence of liver metastases, 1.8 for the presence of progressive disease outside of the irradiated bone target volumes, 1.6 for worse GPS and 0.3 for better Rades score.

## Discussion

Rades et al have recently published their score predicting survival after fractionated radiotherapy for bone metastases [25]. Their study included approximately 400 patients. The fractionation regimen (short course with 5 - 6 fractions of 4 Gy vs. long course with 30 - 40 Gy in 10 - 20 fractions) plus 13 baseline factors were investigated for potential associations with survival. These factors included age ( $\leq$  60 vs. 61 - 70 vs.  $>$  70 years), gender, KPS ( $\leq$  70 vs. 80 - 100), primary tumor type (breast cancer vs. prostate cancer vs. lung cancer vs. kidney cancer vs. colorectal cancer vs. other tumors), interval between cancer diagnosis and irradiation of bone metastases ( $\leq$

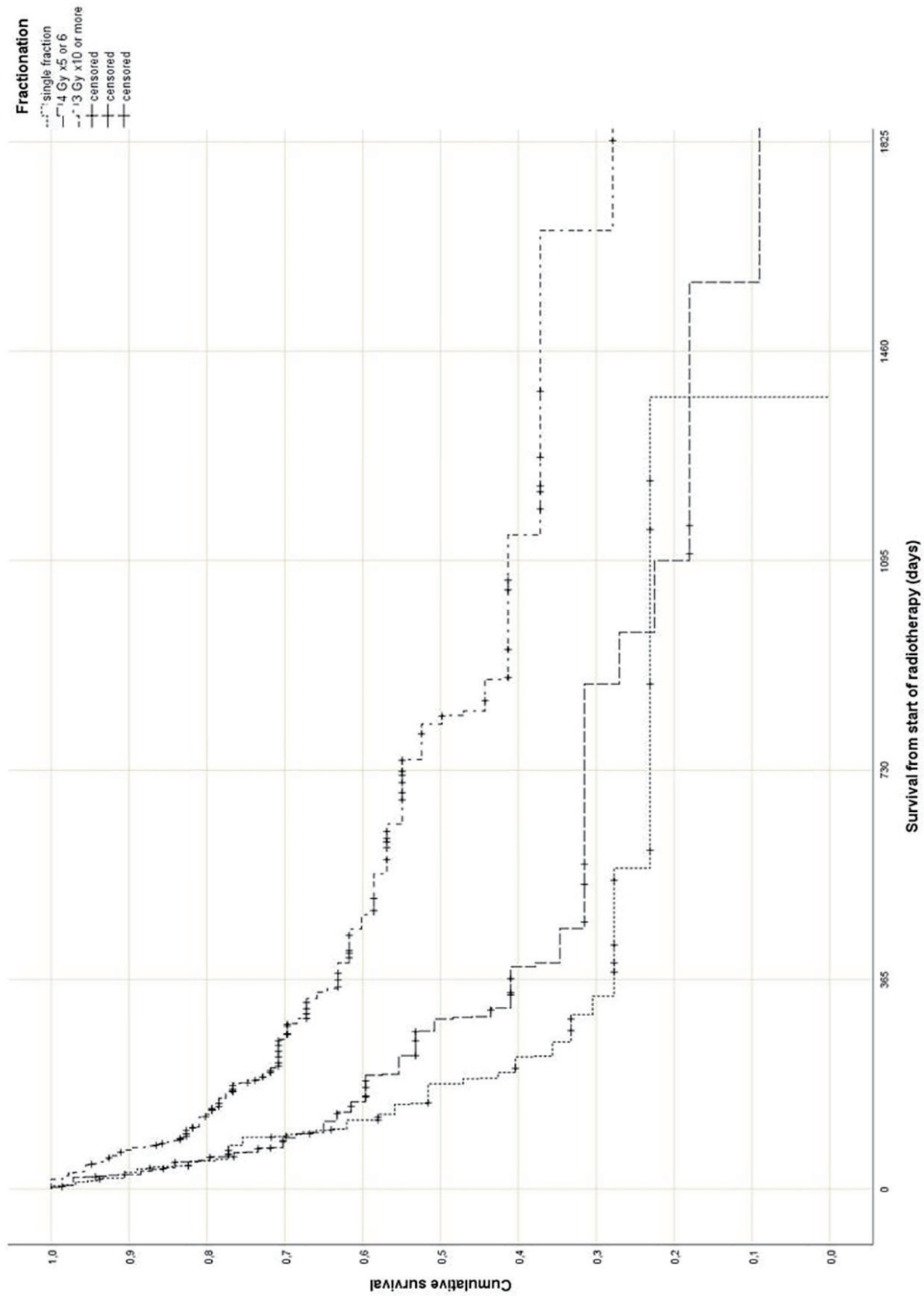


Figure 1. Actuarial Kaplan-Meier survival curves for 270 patients with bone metastases stratified by radiotherapy fractionation (P = 0.0001).

**Table 1.** Distribution of Score Parameters

Parameters	Favorable score	Intermediate score	Unfavorable score
Median age, years	67	65	69
Age ≤ 60 years, %	22	26	10
Karnofsky performance status 80 - 100, %	100	49	0
Breast cancer, %	26	10	0
Renal cell cancer, %	14	8	0
Prostate cancer, %	60	29	0
Lung cancer, %	0	22	47
Colorectal cancer, %	0	5	7
Other cancer, %	0	26	46
Median point sum	15	12	9

8 vs. ≥ 9 months), visceral metastases (no vs. yes), other (non-irradiated) bone metastases (no vs. yes), location of irradiated bone metastases (spinal site(s) only vs. extraspinal site(s) with or without spinal site(s)), number of irradiated sites (single vs. multiple), pathological fracture (no vs. yes), pre-radiotherapy surgery (no vs. yes), pre-radiotherapy administration of bisphosphonates/denosumab (no vs. yes) and pre-radiotherapy systemic anticancer treatment (no vs. yes). Of these factors, only KPS, primary tumor type and age were relevant to the survival score, and three different prognostic groups were constructed (Fig. 2, Table 1).

The purpose of the present study was independent external validation of the Rades et al score and, in addition, its application in patients who received single fraction irradiation, a convenient option currently endorsed in several guidelines [18-21]. The optimal utilization rate of single fraction radiotherapy is unknown and depends on institutional case mix. In the prospective randomized Dutch bone metastasis study (single fraction of 8 Gy vs. 24 Gy in 6 fractions), 28% of the patients survived for more than 1 year [10, 14, 31]. In these 320 patients with better prognosis, responses were 87% after 8 Gy and 85% after 24 Gy ( $P = 0.54$ ). Duration of response and progression rates were similar. Regarding validation of the Rades et al score, we replicated their methods as closely as possible. In addition, potential prognostic factors that were not assessed by Rades et al were included (GPS, liver metastases and disease progression outside of the irradiated bone target volumes). As shown in Table 1, there was good agreement regarding 12-month survival rates between the development and validation studies. The score stratifies patients into three subsets with clearly distinct prognoses. Comparable to the Rades et al study, the impact of age was much smaller than that of KPS and primary tumor type.

The present multivariate analysis suggests that additional prognostic factors may be taken into consideration when making treatment decisions, e.g. GPS, liver metastases and disease progression outside of the irradiated bone target volumes. Figures 3-5 suggest that the physicians in our department prescribed the number of radiotherapy fractions according to the patients expected survival. However, the administration of higher doses of radiation was not associated with improved

survival in the multivariate analysis. It is also evident from these figures that the Rades et al score can be applied in cohorts treated with single fraction radiotherapy. Importantly, survival of prognostically poor patients after single fraction radiotherapy was very short (median 1 month, 12-month rate 0%), suggesting that many of these patients are unlikely to derive clinical benefit. Current strategies for patients with limited disease extent and long predicted survival include stereotactic and other advanced radiotherapy modalities [32]. It would be interesting to study the Rades et al score in patient cohorts managed with these approaches as well.

## Acknowledgments

None to declare.

## Financial Disclosure

No funding was received for this study.

## Conflict of Interest

The authors declare that they have no competing interests.

## Informed Consent

All patients provided informed consent before starting with their routine clinical treatment regimens that were evaluated in this study.

## Author Contributions

CN analyzed and interpreted the patient data regarding the Rades et al score. All authors contributed in writing the manuscript. All authors read and approved the final manuscript.

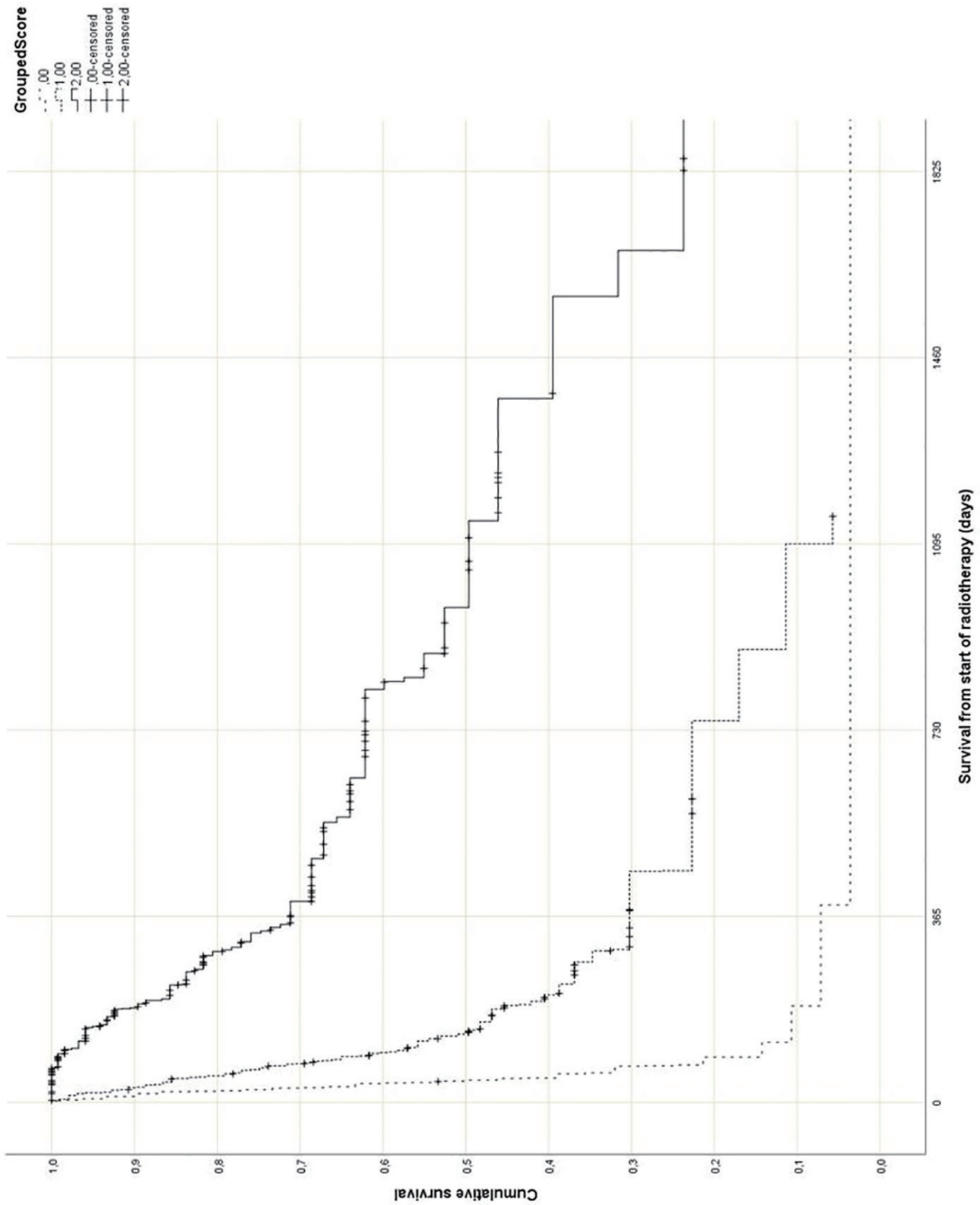
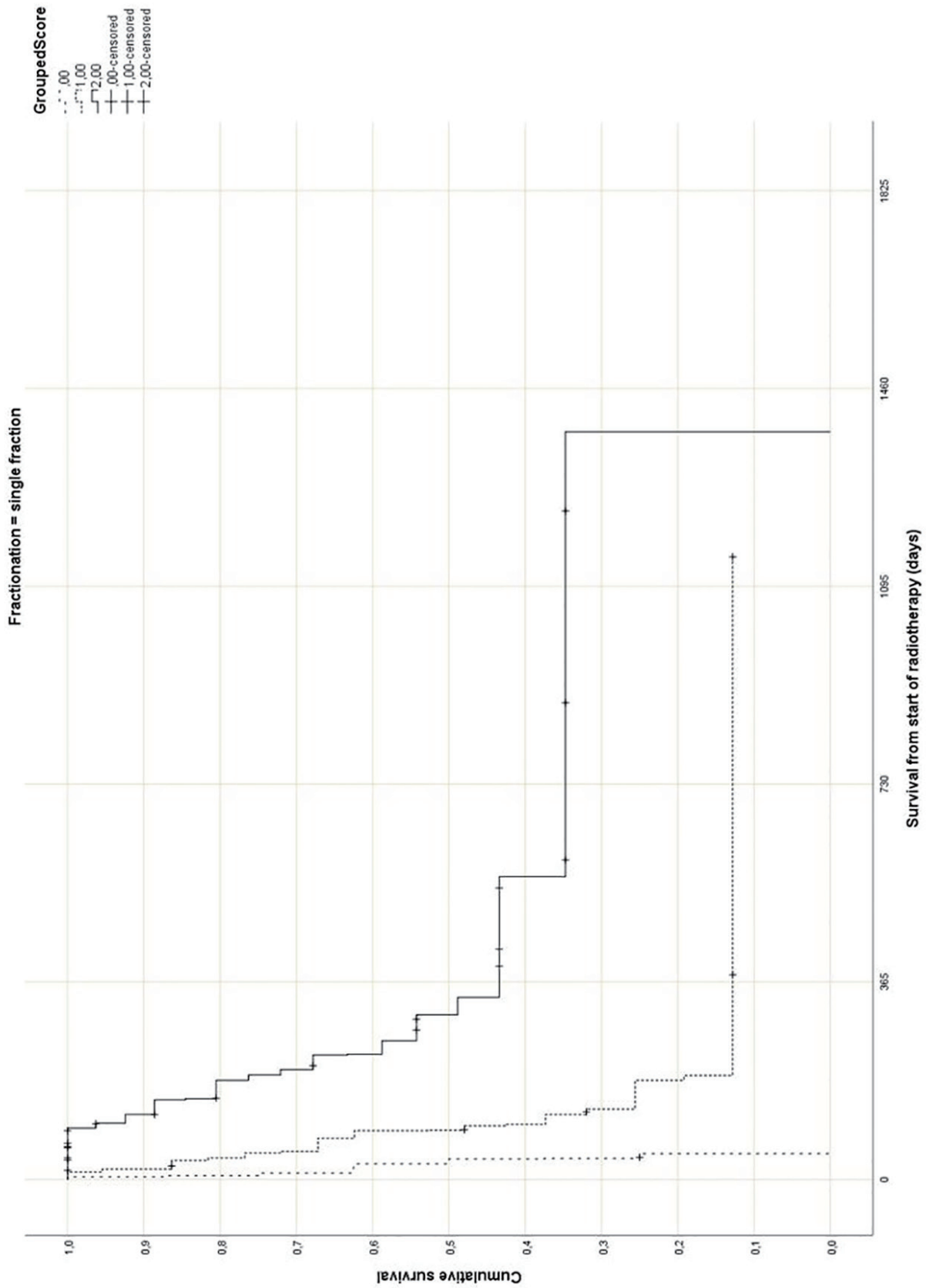
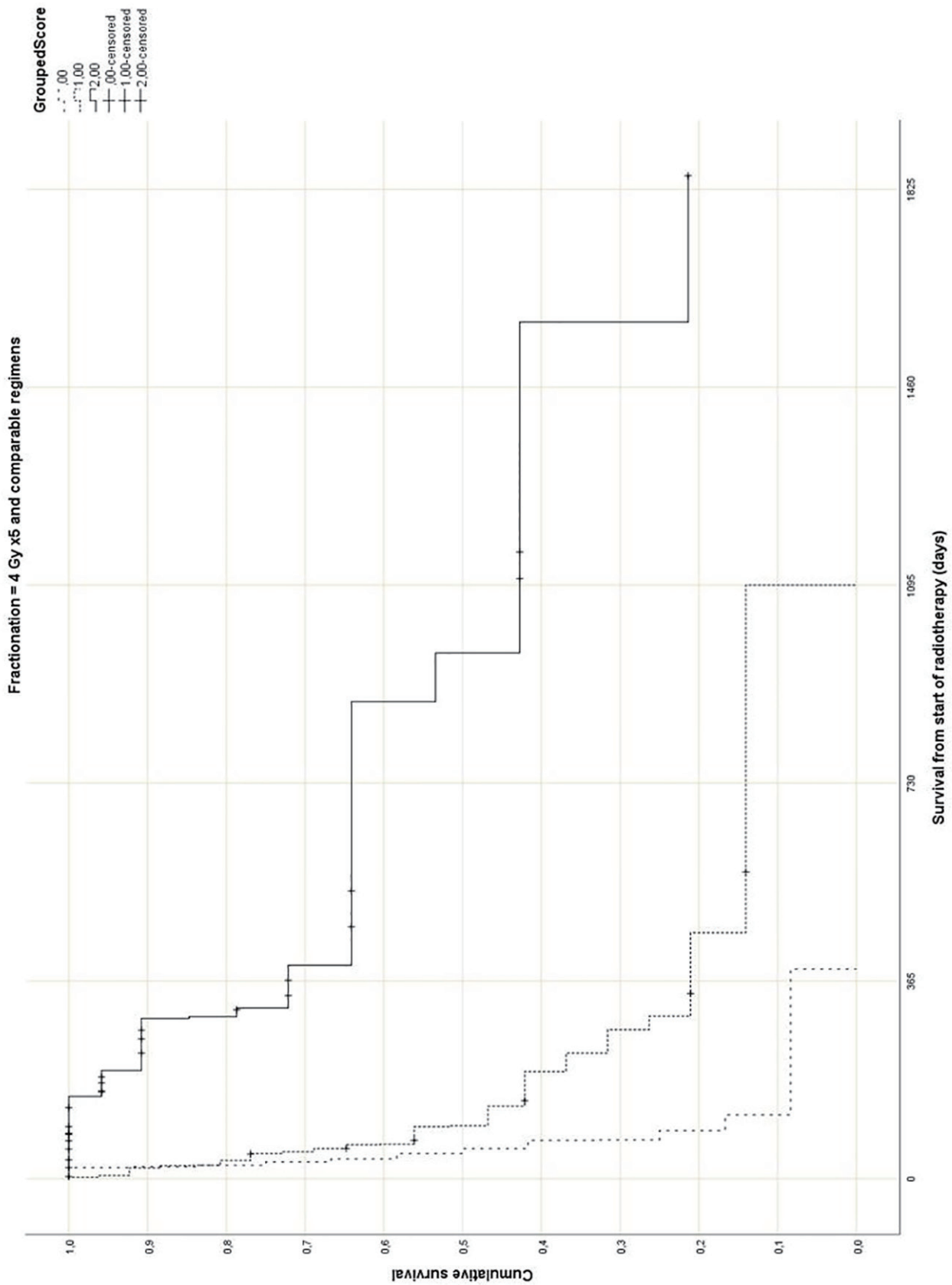


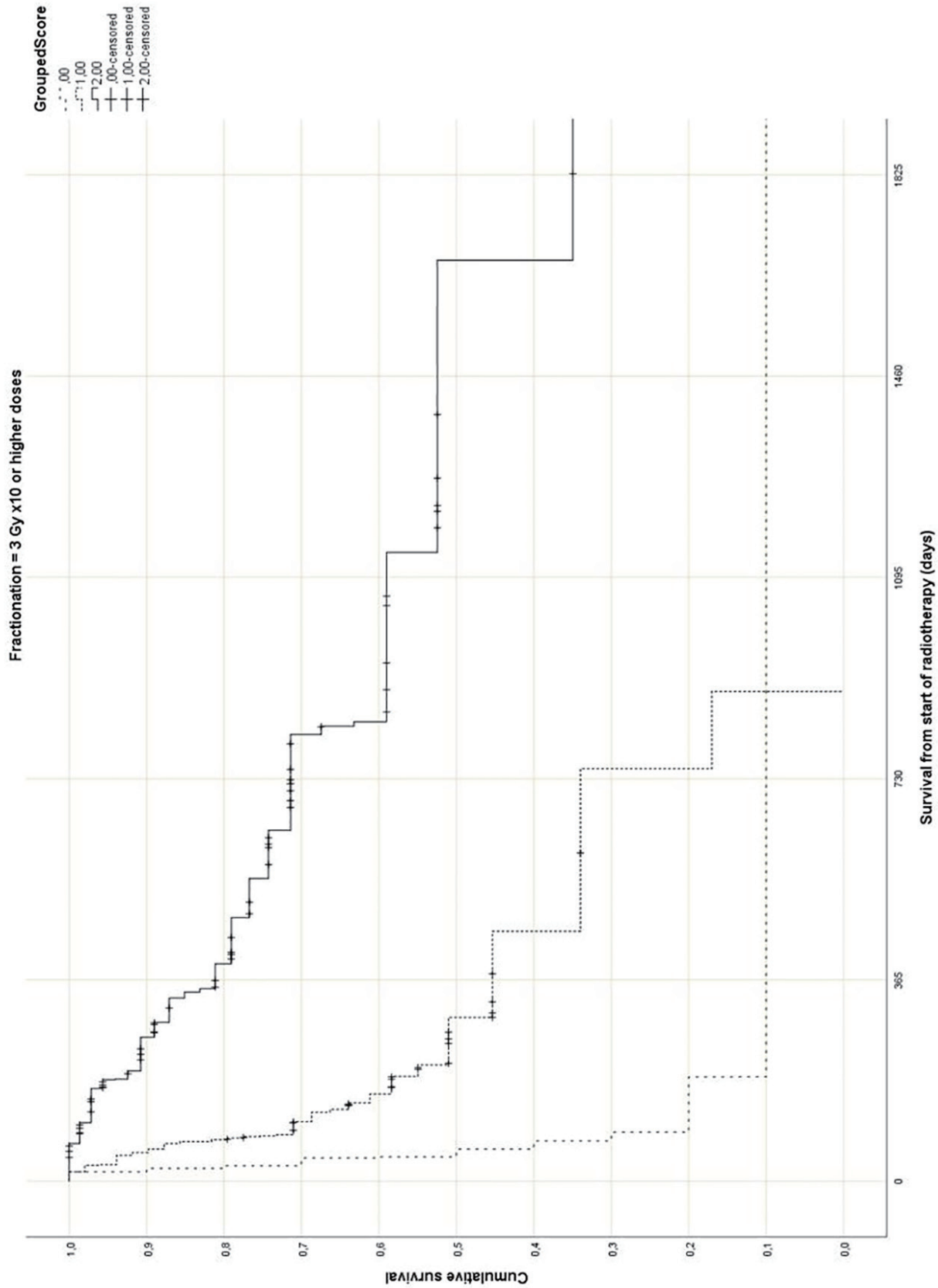
Figure 2. Actuarial Kaplan-Meier survival curves for 270 patients with bone metastases stratified by Rades et al score (P = 0.0001).



**Figure 3.** Actuarial Kaplan-Meier survival curves for 64 patients with bone metastases who received 8 Gy single fraction radiotherapy stratified by Rades et al score (P = 0.0001).



**Figure 4.** Actuarial Kaplan-Meier survival curves for 71 patients with bone metastases who received short course radiotherapy stratified by Rades et al score (P = 0.0001).



**Figure 5.** Actuarial Kaplan-Meier survival curves for 135 patients with bone metastases who received long course radiotherapy stratified by Rades et al score (P = 0.0001).



**Table 2.** Results Overview

Group	12-month survival	Median survival
Rades et al favorable	72%	24 months
Rades et al intermediate	38%	8 months
Rades et al unfavorable	9%	3 months
Present study favorable	71%	31.8 months
Present study intermediate	30%	4.4 months
Present study unfavorable	7%	1.4 months
Present study 8 Gy favorable	43%	10.0 months
Present study 8 Gy intermediate	13%	3.0 months
Present study 8 Gy unfavorable	0%	1.0 month
Present study short course favorable	72%	31.8 months
Present study short course intermediate	21%	3.2 months
Present study short course unfavorable	8%	1.5 months
Present study long course favorable	81%	54.8 months
Present study long course intermediate	45%	9.7 months
Present study long course unfavorable	10%	1.4 months

## Data Availability

Any inquiries regarding supporting data availability of this study should be directed to the corresponding author.

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